S&P Global and Stanford GSB Chapter of Hong Kong
2nd Annual Financial Forum 2019
AI, Big Data and Disruptions in the Deal-Making Environment
Tuesday, 15 January 2019, Asia Society Hong Kong Centre

AGENDA

1:30PM  REGISTRATION

2:00PM  KEYNOTE ADDRESS

US-China Economic Friction: Technology Not Trade
• Why the US-China dispute is more about technology than trade
• Why it’s not just the US and China—many other countries have stakes in this dispute
• What US-China friction could mean for global technology supply chains
• Why technology is so important for China’s rebalancing and deleveraging
Dr Shaun Roache, Chief Economist, Asia-Pacific, S&P Global Ratings

2:30PM  BIG DATA AND AI PANEL

Presentation: Challenges of building AI in financial context
Jason Tu, Co-Founder and CEO, MioTech

Panel Discussion:
Where are New Business Opportunities Emerging as AI Takes Off?
• Where are we in Gartner’s “Hype Cycle” of development that identifies emerging technologies that will blur the lines between humans and machines?
• Have we taken a reality check, or are expectations still exceeding what is possible?
• What areas are likely to be most affected and disrupted by AI as new start-ups continue to take hold?
• Are vast amounts of data the secret to success with AI? If so, can China's data advantage enable it to topple U.S. dominance in the tech sector?
• How are other regions/countries evolving in this race?
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• What strategies should investors consider to capitalize on AI, in addition to investing in Start-ups?
  Seth Huang, Director, AI Applications Research Center, Huawei Technologies
  Jason Tu, Co-Founder and CEO, MioTech
  Peter Wittmann, Head of M&A, TUS International (Moderator)

3:25PM  M&A AND IPO PANEL

Presentation:
Clement Ma, Senior Director, S&P Global Market Intelligence

Panel Discussion:
What Will the Landscape Look Like Going Forward?
• To what degree is your organization embracing AI?
• What were the most surprising developments from your perspective as the U.S. targeted China for a trade war?
• Have companies adjusted to the new environment and will become more decisive on M&A and investments, or is there a general hesitation now?
• We saw a drop in M&A activity last year, but IPOs are happening. How will the M&A and IPO landscape shape up going forward?
• How has the recent drop in tech stocks affected the appetite for new IPOs in this area?
  Jeremy Choy, Managing Director, Head of M&A, China Renaissance
  Emily Kwong, Managing Director, CLSA Limited
  Eugene Tan, Co-Head of Investment Banking, Shanxi Securities International
  Elizabeth Wang, Head, Rothschild & Co
  Robert Woll, Partner, Mayer Brown LLP, Hong Kong (Moderator)

4:20PM  COFFEE BREAK
INVESTMENT PRESENTATION

Deciphering the Message within the Message - Stock Selection Insights using Corporate Earnings Calls
- Overview of Unstructured Data and Natural Language Processing
- Utilizing Earnings Call Transcripts Data in the Stock Selection Process through the Use of NLP
- Backtesting Results of NLP Based Strategies

Edwin Chan, Director, S&P Global Market Intelligence

PRIVATE EQUITY PANEL

Digging Deep on AI to Inform Decision-Making
- Is your industry affected by AI or Big Data in any way?
- Do you see the AI market being overcrowded as new companies form and discuss machine learning, natural language processing, neural networks, and more?
- How do you judge the complexities of AI as you evaluate opportunities? What are the most important criteria you consider?
- Have recent political changes affected your investment thesis or strategies in any way, or caused you to adopt a more conservative approach?
- To what extent is Big Data and AI a theme in your deals? Do you look for AI companies and, if so, where and why?

Shiv Dalvie, Founder, Cotton Tree Management Limited (Moderator)
Jim Hildebrandt, Managing Director, Bain Capital, Hong Kong
Gabriel Li, Managing Partner & Investment Committee Member, Orchid Asia Group
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SPEAKER BIOGRAPHIES

Edwin Chan
APAC Product Lead, Investment Management, S&P Global Market Intelligence
As a product lead at S&P Global Market Intelligence, Edwin focuses on product development for the Investment Management segment within the Asia-Pacific region. Before joining S&P Global, Edwin worked as a desk strategist at Morgan Stanley. Prior to that, he was a senior research analyst at FTSE Russell and a Quantitative Analytics Engineer at MSCI. He attended the University of British Columbia, where he completed his bachelor’s degree in Engineering Physics. Edwin went on to pursue a master’s degree in Financial Mathematics and Statistics at the Hong Kong University of Science and Technology, and subsequently obtained the professional designations of CFA and FRM.

Jeremy Choy
Managing Director, Head of M&A, China Renaissance
Mr. Choy is the former senior China M&A banker at J.P. Morgan and former investment banker at Goldman Sachs in U.S., London and Hong Kong. He has Rich experience in China’s M&A market, involved in numbers of M&A deals across different sectors including JD Finance’s spin-off, Meituan's merger with Dianping, 360’s privatization, etc. Mr. Choy graduated from The University of Chicago majoring in Economics and Statistics.

Shiv Dalvie
Founder, Cotton Tree Management Limited
Shiv Dalvie is the founder of Cotton Tree Management Limited. Prior to founding CTM, Shiv was a partner with AEA Investors. Shiv joined AEA in New York in 1997 and relocated to Hong Kong in late 2006. Prior to AEA, Shiv was in the mergers and acquisitions group of Credit Suisse First Boston where he advised diverse clients including Bayer, BHP, W.R. Grace, Tomkins, Rhone-Poulenc Rorer and Pitney Bowes. Shiv also previously worked with Microsoft Corporation in Redmond, Washington as a Product Manager and as an executive with Chryscapital. Shiv received an M.B.A. from Stanford University’s Graduate School of Business and a B.S. in computer science and economics with honors from Yale University.
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Jim Hildebrandt
Managing Director, Bain Capital, Hong Kong
Jim joined in 2005 to help establish the Asia Private Equity team. The Asia team has now expanded into Credit and across China, Japan, India, Australia and Korea. Prior to joining Bain Capital, Mr. Hildebrandt was a Partner at Bain & Company, relocating to Asia from London in 1989 as a founder of the offices in Sydney, Hong Kong, Singapore and Seoul. Mr. Hildebrandt served on the Global Operating and Compensation Committees, and had responsibility for the Asian Private Equity Practice. Mr. Hildebrandt received an MBA from Stanford Business School and graduated as the Henry Ford II Scholar. He received a JD from the University of Toronto.

Seth Huang
Director, Artificial Intelligence Applications Research Center, Huawei Technologies
Dr. Huang is an expert in AI and an investor in the financial technology field, having filed 6 patents on artificial intelligence systems in the United States and Greater China. He has served as an Associate Professor and designed financial technology and AI curriculum at the Hong Kong University of Science and Technology. He is also the co-founder of Squared-S Artificial Intelligence and the former Director of Shanghai Advanced Institute of Finance under Shanghai Jiaotong University and a technology partner at Jumpgate. Dr. Huang obtained his doctorate in financial economics from Cornell University, receiving full scholarship and fellowships, and specializing in machine learning algorithms and financial enterprise applications.

Emily Kwong
Managing Director, CLSA Limited
Ms. Emily Kwong has joined CLSA as Managing Director in coverage and corporate finance group focusing on healthcare and TMT in June 2017. She is most recently the coverage banker for a no. of landmark biotech deals in HK. Before joining CITIC CLSA Securities, Ms. Kwong was a Managing Director in Evercore Asia Limited responsible for Asean cross-border M&A business. Prior to Evercore, Ms. Kwong worked in HSBC and UBS, respectively, with a focus on Healthcare and Consumer sectors. Ms. Kwong holds a Bachelor of Commerce degree from University of Toronto, Canada. She also has CFA qualifications.
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Gabriel Li
Managing Partner & Investment Committee Member, Orchid Asia Group
Gabriel Li is the Managing Partner & Investment Committee Member of Orchid Asia Group Management Limited. He led the first round investment in Ctrip International and Eachnet for Orchid Asia. He is also currently the Vice Chairman of the Board and Director of Ctrip. Gabriel is an ex-director of Autohome Inc, an ex-director of Lifetech Scientific Corporation and an ex-director of Zhaopin. Gabriel is currently the Director of Nirvana Asia Ltd, Qeeka Home (Cayman) Inc. and Sangfor Technologies Inc. Gabriel graduated summa cum laude from the University of California at Berkeley, earned his M.S. from the Massachusetts Institute of Technology and his M.B.A. from Stanford Business School.

Clement Ma
Senior Director, S&P Global Market Intelligence
Clement Ma is the Asia-Pacific Product Lead (ex-China) for S&P Global Market Intelligence and also oversees regional product and market development for the Investment Banking & Private Equity business segments. Prior to this role, Clement launched and headed the Asia-Pacific business of SNL Financial, which was acquired by S&P Global in 2015. Before joining SNL, Clement was a Vice President on the FIG Investment Banking teams at Lazard in New York and Citigroup in Singapore. Clement holds an MBA from the Darden School of Business at the University of Virginia and a Bachelor of Commerce degree from Queen's University, Canada. He is also a CFA charterholder.

Shaun Roache
Managing Director, Chief Economist, Asia-Pacific, S&P Global Ratings
Shaun Roache leads the economic research agenda and serves as the primary spokesperson on macro-economic matters across the region. Before joining S&P Global Ratings, Shaun was the Strategist and Lead Economist at Temasek, a Singapore sovereign wealth fund, covering China, Japan and emerging markets. Before that, Shaun spent 12 years with the International Monetary Fund in Washington, D.C. and Hong Kong, during which time he participated in the IMF’s first Financial Stability Assessment Program and the G20’s Mutual Assessment of China. He started his career as an emerging market economist with ING Barings and Citigroup in London. Shaun holds a Ph.D. in Economics from Birkbeck College, University of London and a bachelor's degree in Economics from Queen’s University Belfast.
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Eugene Tan
Co-Head of the Investment Banking Department, Shanxi Securities International
Mr. Eugene Tan is responsible for managing the investment banking business at Shanxi Securities International. Mr. Tan has over 30 years of international experience in the investment banking industry in the Asia Pacific region and the Americas. Previously, he headed the Asia investment banking business at Oppenheimer, Inc. and the Financial Institutions investment banking franchises at NM Rothschild, HSBC, and Salomon Smith Barney/Citigroup. Mr. Tan was also previously the Head of Equity Capital Markets for the Asia Pacific region at ING Barings. He began his investment banking career in the New York Corporate Finance Department of Goldman, Sachs and Co. Mr. Tan holds an MBA with Distinction from Stanford University. He was an Arjay Miller Scholar and recipient of the Deloitte and Touche Accounting Award from the same university.

Jason Tu
Co-Founder and CEO, MioTech
Jason’s career spans across banking and tech startups around the globe. He kicked off his career at Standard Chartered Bank in Hong Kong, and has since then worked at a number of startups such as WeLab and Robinhood in Mainland China, Hong Kong, and the U.S. Jason has an MBA from Stanford and is a Forbes 30 Under 30 Honoree.

Elizabeth Wang
Head, Rothschild & Co
Prior to joining Rothschild, Elizabeth was the Head of Hong Kong Investment Banking at Morgan Stanley since 2007. Prior to joining Morgan Stanley, Elizabeth was Head of Asia Consumer & Retail Industry team at UBS Hong Kong from 2004 to 2007 and worked in Goldman Sachs Hong Kong’s Media & Communications team from 2000-2004. Prior to her return to Asia in 2000, Elizabeth worked in London and New York with UBS and Goldman Sachs. Elizabeth received a Masters of Science degree from Stanford University and a Bachelor of Science with Honors degree from California Institute of Technology.
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Peter Wittmann
Head of M&A, TUS International
Prior to TUS International, Peter has been working for Sailing Capital, a private equity fund connected to Shanghai International Group where he was instrumental in sourcing and executing cross-border investments. Previously, Peter has executed several venture capital and private equity transactions for European investors. Peter started his career working in supply chain management for BMW Group in Germany. Peter holds an MBA from Stanford University’s Graduate School of Business and a master’s degree in Computer Science from TUM in Germany.

Robert Woll
Partner, Mayer Brown LLP, Hong Kong
Mr. Robert Woll’s practice focuses on private equity and the formation of private investment funds. Mr. Woll has extensive experience in Greater China and has been based in the region since 1997. Before joining Mayer Brown, he was co-partner in charge of the Beijing office of another international law firm. He has significant experience advising on all aspects of private equity. He advises investment firms on fund formation and fundraising, as well as related acquisitions, joint ventures, consortium arrangements, strategic partnerships and collaborations, and co-investment deals, and has worked on numerous cross-border, complex transactions in these areas. He has also devoted substantial efforts to assisting China-based technology companies with capital raising and strategic transactions. Mr. Woll received an A.B. from Princeton University and a J.D. from Stanford Law School.